certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: immissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450. **Typed or Printed Name** Date Signature GUID-012CON Attorney Docket **SUPPLEMENTAL INFORMATION DISCLOSURE** First Named Inventor Lawrence W. Hu, et al. **STATEMENT** 09/900,503 Application Number July 6, 2001 Filing Date Address to: 3625 Commissioner for Patents Group Art Unit P.O. Box 1450 Smith, Jefferey A. Examiner Name Alexandria, VA 22313-1450 Title Surgical Retractor Platform Blade

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration.

Form PTO-1449 listing the references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the forms to indicate that the references have been reviewed and made of record. Copies of the references are included for the Examiner's review.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-2653, order No. GUID-012CON may be charged therefore.

Date:

LAW OFFICE OF ALAN W. CANNON

5/13/05

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Telephone: (408) 736-3554 Facsimile: (408) 736-3564 Respectfully submitted,

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By;∕

Alan W. Cannon

**Apparatus** 

Registration No. 34,977

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stitute for form 1449A/PTO

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known **Application Number** 09/900,503 07/06/2001 Filing Date **First Named Inventor** Lawrence W. Hu 3625 Group Art Unit Smith, Jeffrey A. **Examiner Name** Attorney Docket Number **GUID-012CON** 

(use as many sheets as necessary) Sheet 1

**U.S. PATENT DOCUMENTS** 

Examin	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, columns, lines, Where
er Initials'	No.1	Number-Kind Code <sup>2 (if</sup> known)	MM-DD-YYYY	Cited Document	Relevant Passages or Relevant Figures Appear
	A1	4,617,916	10/21/1986	LeVahn et al.	
	A2	4,747,394	05/31 /1988	Wananabe	
· _ ·	A3	5,846,187	12/08/1998	Wells, et al.	
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	A29	6,159,231	12/12/2000	Looney et al.	
	A30	6,190,311	02/20/2001	Glines et al.	

Date Examiner Considered Signature

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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Complete if Known Substitute for form 1449A/PTO **Application Number** 09/900,503 INFORMATION DISCLOSURE Filing Date 07/06/2001 STATEMENT BY APPLICANT **First Named Inventor** Lawrence W. Hu Group Art Unit 3625 **Examiner Name** Smith, Jeffrey A. (use as many sheets as necessary) **GUID-012CON** 3 Attorney Docket Number Sheet 2 of **U.S. PATENT DOCUMENTS** 

Examin er Initials'	Cite No. <sup>1</sup>	Document Number  Number-Kind Code2 (if known	Publication Date  MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear
	A31	6,199,556	03/13/2001	Benetti et al.	
_	A32	6,213,940	04/10/2001	Sherts et al.	
	A33	6,213,941	04/10/2001	Benetti et al.	
	A34	6,231,506	05/15/2001	Hu et al.	
	A35	6,264,605	07/24/2001	Scirica et al.	
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	A46	6,701,930	03/09/2004	Benetti et al.	
	A47	2004/0092799	05/13/2004	Hu et al.	
	A48	2004/0143168	07/22/2004	Hu et al.	

Examiner Date	
Signature Considered	2_

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute	for form 1449A/PTO			Complete if Known			
<b>&gt;</b>				Application Number	09/900,503		
	INFORMATION D	ISCLOSUR	E	Filing Date	07/06/2001		
	<b>STATEMENT BY</b>	<b>APPLICAN</b>	T	First Named Inventor	Lawrence W. Hu		
				Group Art Unit	3625		
İ	(use as many sheets	as necessary)		Examiner Name	Smith, Jeffrey A.		
Sheet	3	of	3	Attorney Docket Number	GUID-012CON		
<u> </u>	U.S. PATENT DOCUMENTS						

Examin er Initials'	Cite No.	Foreign Patent Documents  Country Code <sup>3</sup> Number <sup>4</sup> Kind  Code <sup>5</sup> ( <i>if known</i> )	Publication Dat e MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear	T⁵
	B1	WO 95/15715	06/15/1995	Sterman et al.		
	B2	WO 00/42920	07/27/2000	Brown et al.		

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	C1	Anstadt, et al., "Direct Mechanical Ventricular Actuation for Cardiac Arrest in Humans, "Chest, Vol. 100, No. 1, July 1991,pgs.86-92.				
	C2	Parsonnet, V.MD, et al., "Self-Retaining Epicardial Retractor for Aortocoronary Bypass Surgery, "The Journal of Thoracic and Cardiovascualr Surgery, 629-30, 1979.				

Examiner	Date	
Signature	Considered	3

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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